



RPost for Swiss FINMA Compliance

Explore Our
Products



▶ Swiss FINMA Provisioning

California
R&D

70+
Patents

100%
Homegrown Products

190+
Countries

www.rpost.com



What is FINMA

FINMA is the Swiss Financial Market Supervisory Authority. It is an independent government agency responsible for regulating and supervising the financial markets in Switzerland. Its primary mandate is to ensure the integrity, stability, and efficiency of the Swiss financial system, and to protect the interests of consumers and investors. Industries and sectors that FINMA oversees include banks, insurance companies, securities dealers, and other financial service providers.



“ FINMA available instances of RPost’s RMail, RSign, and RDocs services are ripe; this empowers our local teams to bring these great technologies into our financial and insurance customers across Switzerland. ”

Volker Sommerfeld
Product Director
Frama Communications
Switzerland

Thank you, to the more than **25 million people** the world over, who have put their trust in our team and technology, **since 2000.**



➤➤ RPost for FINMA Regulated Industries

RPost offers specialized provisioning for customers regulated by Swiss FINMA, where customer metadata is replicated to FINMA certified servers and infrastructure technologies operated within the AWS Services in Scope by Compliance Program — Swiss Financial Market Supervisory Authority (FINMA).

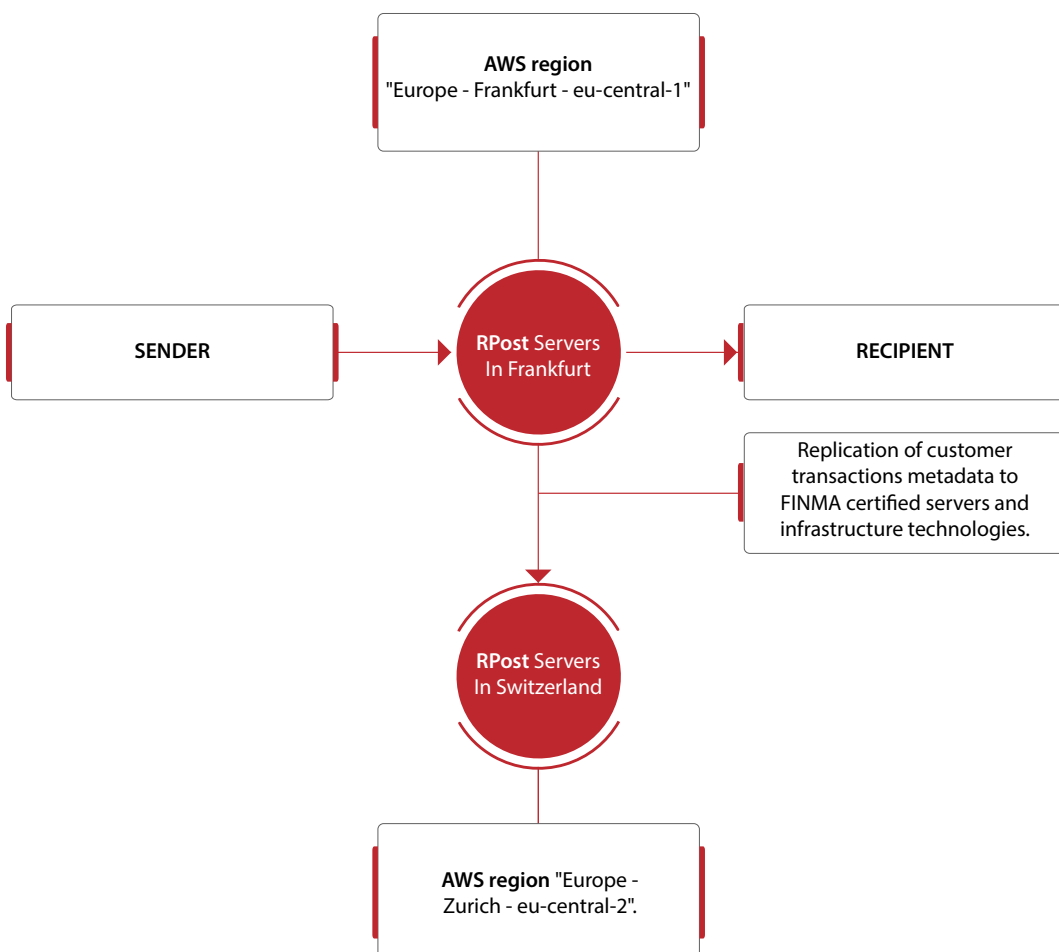
RPost system and service metadata that is applicable to FINMA stored-in-Switzerland requirements include:

RMail: Transaction metadata. Unless otherwise opted, RPost retains transaction metadata during the billing period associated with each RMail transaction. The content in this Transaction Metadata includes transmission timestamps, message size, addresses, header data, features selected, and may include forensic delivery data.

RSign: Transaction metadata. For FINMA deployments, the RSign message content and agreement data is set with the GDPR purge settings so this data is not retained inside the RSign application but instead, returned directly to the sender and stored by the sender. The content retained is only transaction data (envelope, transmission and settings data, and other related feature-setting-timestamp metadata).

RDocs: Transaction metadata, which includes document settings, creation, transmission, and activity history. RPost commits to providing access to the abovementioned customer metadata, should it exist, as it is duly and legally requested to be accessed by Swiss customers and Swiss governmental authorities in processes dictated by FINMA regulations.

➤➤ Swiss FINMA Provisioning Workflow



➤➤ Frequently Asked Questions:

Are customers required to sign an additional agreement to require this service?

Yes, RPost requires customers opting for this configuration to sign a specialized RPost Service Enhancement Agreement of type "FINMA Provisioning".

What is the average implementation time after service order is in place?

3 weeks.

Which services/programs within AWS does RPost use that are FINMA certified?

RPost uses FINMA approved services from AWS VPC (Virtual Private Cloud) listed in <https://aws.amazon.com/compliance/services-in-scope/FINMA/>.

Where is the replicated data stored in Switzerland?

The main data source is located in the AWS region "Europe - Frankfurt - eu-central-1" and replicated to the AWS region "Europe - Zurich - eu-central-2".

What is the frequency for replicating data to the FINMA-compliant server?

Daily.

Are RMail receipts, RDocs documents and RSign signed agreements, signing certificates, and message content replicated in the Swiss server?

No. For FINMA deployments, the abovementioned data is set with the GDPR purge settings so only transaction metadata is retained inside the RPost servers and replicated to the Swiss servers.

Is the replicated information transmitted and stored encrypted following the same security standards our regular services implement?

Yes. AES-256 is used for in-transit encryption. All drives are encrypted protecting the data at rest. Storage volumes are encrypted at block level using AES-256 in a manner consistent with NIST 800-57 and with FIPS 140-2 approved algorithms.

What is the default storage size allocated for a customer that purchases the service?

Up to 10Gb, expandable upon request.

Is there an additional cost for increasing the default limit storage size?

Yes. Please refer to the "FINMA Provisioning" Service Enhancement Agreement. Note that transaction metadata would rarely surpass the default storage limit.

For how long is the information replicated to the Swiss server stored?

By default, the replicated information on the Swiss server is stored for the duration of the agreement with the customer. If the agreement is cancelled, unless otherwise contracted, the data will be purged in batches according to RPost timelines. Customers can request an immediate or custom purge, in which case additional costs may apply as outlined in the "FINMA Provisioning" Service Enhancement Agreement.

Are customers required to purchase a specific support plan to be able to request this service?

Yes, customers requesting the "FINMA Provisioning" service are required to contract the Enterprise Support plan for RPost services.

Which information is required by RPost from the customer to setup and configure the service?

Please refer to the "FINMA Provisioning" Service Enhancement Agreement, section "PROVISIONING QUESTIONS (REQUIRED)".

How can the customer or data requestor request access to all or specific replicated metadata, and what is its cost (if any)?

The costs for accessing metadata are outlined in the "FINMA Provisioning" Service Enhancement Agreement. To request access to this information, requestor must create a support ticket. Once the ticket is received, RPost will conduct an out-of-band accessor authentication process to verify the request and determine the preferred data delivery method.

In which formats can metadata be exported and provided to the requestor?

Metadata is delivered in CSV or XLS format on a data medium to be mutually agreed (secure file transfer or otherwise). A different data format can be requested as a Professional Services request, which may be subject to additional costs.



Contact us to learn more

www.RPost.com

